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LIMBACH & LIMBACH L.L.P.
2001 Ferry Building, San Francisco, CA 94111
415/433-4150

04/14/98
10514
U.S. PTO

Address to:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Attorney's Docket No. SONY-P8407
[S98P0407US00]
First Named Inventor SHINICHI HIRATA

UTILITY PATENT APPLICATION TRANSMITTAL
(under 37 CFR 1.53(b))

SIR:

Transmitted herewith for filing is the patent application entitled:

A TRANSMITTING/RECEIVING APPARATUS AND A TRANSMITTING/RECEIVING METHOD
CERTIFICATION UNDER 37 CFR § 1.10

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date April 14, 1998, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number EL059096145US addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Howard Wong

(Name of person mailing paper)

(Signature)

Enclosed are:

1. Transmittal Form (two copies required)
2. The papers required for filing date under CFR § 1.53(b):
 - i. 54 Pages of specification (including claims and abstract);
 - ii. 21 Sheets of drawings.
 formal informal
3. Declaration or oath
 - a. Unsigned
4. — Microfiche Computer Program (Appendix, see 37 CFR 1.96)
5. — Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - i. — Computer Readable Copy
 - ii. — Paper Copy (identical to computer copy)
 - iii. — Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

6. — An assignment of the invention to Sony Corporation is attached (including Form PTO-1595).
 - i. — 37 CFR 3.73(b) Statement (when there is an assignee)
7. Power of Attorney (unsigned)
8. — An Information Disclosure Statement (IDS) is enclosed, including a PTO-1449 and copies of __ references.
9. Preliminary Amendment.
10. Return Receipt Postcard (MPEP 503 -- should be specifically itemized)
11. — Other

12. FOREIGN PRIORITY
 Priority of application no. P09-098672 filed on April 16, 1997 in Japan is claimed under 35 USC 119.

The certified copy of the priority application:

is filed herewith; or
 has been filed in prior application no. __ filed on __, or
 will be provided.

English Translation Document (if applicable)

13. FEE CALCULATION

a. Amendment changing number of claims or deleting multiple dependencies is enclosed.

CLAIMS AS FILED

| | Number Filed | Number Extra | Rate | Basic Fee (\$790) |
|--|--------------|--------------|-----------|-------------------|
| Total Claims | - 20 | * 0 | x \$22.00 | 0 |
| Independent Claims | 6 - 3 | * 3 | x \$82.00 | 246. |
| <input type="checkbox"/> Multiple dependent claim(s), if any | | | \$270.00 | 0 |

*If less than zero, enter "0".

Filing Fee Calculation \$1,036.00

50% Filing Fee Reduction (if applicable) \$0

14. Small Entity Status

a. A small entity statement is enclosed.
 b. A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
 c. is no longer claimed.

15. Other Fees

Recording Assignment [\$40.00] \$0
 Other fees
 Specify _____ \$0

Total Fees Enclosed \$1,036.00

16. Payment of Fees

Check(s) in the amount of \$ 1,036.00 enclosed.
 Charge Account No. 12-1420 in the amount of \$.
A duplicate of this transmittal is attached.

17. All correspondence regarding this application should be forwarded to the undersigned attorney:

Charles P. Sammut
 Limbach & Limbach L.L.P.
 2001 Ferry Building
 San Francisco, CA 94111
 Telephone: 415/433-4150
 Facsimile: 415/433-8716

18. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Account No. 12-1420. A duplicate of this transmittal is attached.
 LIMBACH & LIMBACH L.L.P.

April 14, 1998
 (Date)

Attorney Docket No. SONY-P8407
 [S98P0407US00]

By: 
 Charles P. Sammut
 Registration No. 28,901

Attorney(s) or Agent(s) of Record